



1. Date: DDMMYYYY

This Page to be filled up by the Deposit Associate

- 2. Sole/First Depositor, Second Depositor, Guardian of Sole/First Depositor, Guardian of Second Depositor, First Nominee, Second Nominee, Guardian of First Nominee, Guardian of Second Nominee, Authorized Signatory, Partner, Account Operator, Organization, Controlling Shareholder, Shareholder, holding 20% or more shares

Instructions for filling the form:

- a. Appropriate part of the KYC Form must be filled and signed by Deposit Associate and Service Desk official and not by the Depositor. KYC Form is to identify and verify the depositor. It helps to assess and mitigate risk associated with the respective deposit.
b. All fields are mandatory to fill up | Fill the form clearly, any overwriting and/or words struck out must be confirmed by signature.
c. A separate KYC Profile Form of each DEPOSITOR, each GUARDIAN of minor depositor(s), each NOMINEE, each GUARDIAN of minor nominee(s), each AUTHORISED SIGNATORY, each PARTNER, each ACCOUNT OPERATOR and of ORGANISATION, each CONTROLLING SHAREHOLDER and any SHAREHOLDER having 20% or more shares.

IDENTITY

3. Unique Customer ID No.:

4. Name :
5. (বাংলায়) :
6. Present Address :

VERIFICATION

- 7. I have met in person : Yes No; if No, explain Why : 8.
9. I have verified the signature: Yes No; if No, explain Why : 10.
11. NAME verified through : National ID Passport Motor Driving License Chairman/Ward Commissioner's Certificate Birth Registration Certificate Detail: 12.
13. ADDRESS verified through: Document Visit Detail: 14.

PROFESSION

15. Organization Name : 16. Designation:
17. Business Address :

VERIFICATION

18. I have visited the office premise : Yes No; if No, explain Why : 19.

SOURCE OF FUND

- 20. Please select from the following categories: Personal Savings Business Salary and/or Bonus Inheritance Remittance Investment Returns Commission Honorarium Land/Apartment Sale Proceeds Gifts from Family Members/Relatives 21.

22. The Source of Fund is consistent with the depositor's profession/income & declared Source of Fund: Yes No; 23. if No, please explain Why:

POLITICAL EXPOSURE

24. Is the person politically exposed: No Yes; 25. if Yes, select the appropriate segment below and obtain approval before account opening:

- Head of State/Government Senior Politician Important Political Party Official Senior Government/Judicial/Military Official Senior Executive of State Owned Corporation 26.

27. Approval received from: Managing Director Deputy Managing Director Chief Financial Officer

28. Name of Approver: 29. Signature: 30. Date:

DECLARATION

I, hereby warrant that, all information furnished by me in this form is true, complete and accurate in all respects and that I have not willfully withheld any material fact. I have provided all relevant documents mentioned above. In case of any wrong information, I will be liable as per prevailing rules, regulations and directives of Bangladesh Bank and/or the Government.

31. REMARKS

Remarks section with lines for text entry.

32. Introducer's Name: 33. Introducer's Code: 34. Introducer's Signature: Signature with Date

**36. SUPPORTING DOCUMENT**

- Introduction Letter     Tax Assessment Certificate     Utility Bill     MOA & AOA/By Law     Chairman/Ward Commissioner's Certificate
37. National ID; No.: \_\_\_\_\_     38. Birth Certificate; No.: \_\_\_\_\_
39. Passport; No.: \_\_\_\_\_     40. e-TIN; No.: \_\_\_\_\_
41. VAT Certificate; No.: \_\_\_\_\_     42. Motor Driving License; No.: \_\_\_\_\_
43. Trade License; No.: \_\_\_\_\_     44. \_\_\_\_\_
45. Original document(s) **SIGHTED** :  Yes  No    46. Photocopy of document(s) **RECEIVED** :  Yes  No
47. All information **MATCHED** with document(s) :  Yes  No; if **No** explain **Why**: 48. \_\_\_\_\_
49. In case of **MISMATCH**, document(s) collected:  Yes  No; if **No** explain **Why**: 50. \_\_\_\_\_

**RISK ASSESSMENT**

A. Type of Customer's Occupation/Organization's Business:

Tick (✓) mark the Specific Category	Risk Level	Score	Tick (✓) mark the Specific Category	Risk Level	Score
<input type="checkbox"/> 51. Jewelry/Gems Trade	High	5	<input type="checkbox"/> 72. Insurance/Brokerage Agency	Medium	3
<input type="checkbox"/> 52. Money Changer/Courier Service Agent	High	5	<input type="checkbox"/> 73. Religious Institute/Organization	Medium	3
<input type="checkbox"/> 53. Real Estate Agent	High	5	<input type="checkbox"/> 74. Amusement Organization/Park	Medium	3
<input type="checkbox"/> 54. Promoter of Construction Project	High	5	<input type="checkbox"/> 75. Motor Parts Trader	Medium	3
<input type="checkbox"/> 55. Offshore Corporation	High	5	<input type="checkbox"/> 76. Tobacco & Cigarette Business	Medium	3
<input type="checkbox"/> 56. Arts/Antique Dealer	High	5	<input type="checkbox"/> 77. Auto Primary (New Car)	Low	2
<input type="checkbox"/> 57. Owner of Restaurant/Bar/Night Club/Residential Hotel	High	5	<input type="checkbox"/> 78. Shop Owner (Retail)	Low	2
<input type="checkbox"/> 58. Import/Export Agent	High	5	<input type="checkbox"/> 79. Business Agents	Low	2
<input type="checkbox"/> 59. Cash Investor (Monthly Cash Investment > Tk. 25 Lacs)	High	5	<input type="checkbox"/> 80. Small Trader (Annual Turnover less than Tk. 50 Laqđ	Low	2
<input type="checkbox"/> 60. Share/Stock Broker	High	5	<input type="checkbox"/> 81. Self-Employed Professional/Entrepreneur	Low	2
<input type="checkbox"/> 61. Manpower Export Business	High	5	<input type="checkbox"/> 82. Corporate Customer	Low	2
<input type="checkbox"/> 62. Operations in Multiple Locations	High	5	<input type="checkbox"/> 83. Hardware/Construction Materials Trader	Low	2
<input type="checkbox"/> 63. Movie Producer/Distribution Agency	High	5	<input type="checkbox"/> 84. Computer/Mobile Phone Dealer	Low	2
<input type="checkbox"/> 64. Arms Trade	High	5	<input type="checkbox"/> 85. Software Business	Low	1
<input type="checkbox"/> 65. Mobile Phone Operator	High	5	<input type="checkbox"/> 86. Manufacturer (Other than Arms)	Low	1
<input type="checkbox"/> 66. Traders (Annual Turnover of more than Tk. 1 Crore)	High	5	<input type="checkbox"/> 87. Retired from Service	Low	0
<input type="checkbox"/> 67. Travel Agent	High	5	<input type="checkbox"/> 88. Service	Low	0
<input type="checkbox"/> 68. Transport Operator	Medium	3	<input type="checkbox"/> 89. Student	Low	0
<input type="checkbox"/> 69. Auto Dealer (Reconditioned Car)	Medium	3	<input type="checkbox"/> 90. Housewife	Low	0
<input type="checkbox"/> 70. Leasing/Finance Company	Medium	3	<input type="checkbox"/> 91. Farmer	Low	0
<input type="checkbox"/> 71. Freight/Shipping/Cargo Agent	Medium	3	<input type="checkbox"/> 92. Others _____ (Risk Score may be assigned depending on Category)		

B. Reason for choosing United Finance:

Mode	Risk Level	Risk Rating	Tick(✓)
93. Relationship Manager/Affiliate	Low	0	94.
95. Direct Sales Agent	Medium	1	96.
97. Internet	High	3	98.
99. Walk-in/Unsolicited	High	3	100.

D. The value of deposit:

Mode	Risk Level	Risk Rating	Tick (✓)
107. < Tk. 5,000,000	Low	0	108.
109. Tk. 5,000,000 - 20,000,000	Medium	1	110.
111. > Tk. 20,000,000	High	3	112.

C. The amount of annual turnover/income:

Mode	Risk Level	Risk Rating	Tick(✓)
101. < Tk. 5,000,000	Low	0	102.
103. Tk. 5,000,000 - 20,000,000	Medium	1	104.
105. > Tk. 20,000,000	High	3	106.

E. Overall risk assessment (A+B+C+D):

Risk Rating	Risk Assessment	Result of the Assessment	
113. If the score is equal to or more than 9	High	Total rating point	114.
115. If the score is below 9	Low	Overall risk level	116.

**Last Update of Account and Customer Related Information**

117. Date: \_\_\_\_\_ 118. Checked by: \_\_\_\_\_ Name of Officer \_\_\_\_\_ Signature: \_\_\_\_\_ With Seal and Date \_\_\_\_\_

**FOR OFFICE USE ONLY**

119. Remarks: \_\_\_\_\_

120. Checked by: \_\_\_\_\_ Account Opening Officer \_\_\_\_\_ Signature with Date \_\_\_\_\_

121. Recommended for further review in case of suspicious activities:  Yes  No

122. Approved by BAMLCO: \_\_\_\_\_ Account Approving Officer \_\_\_\_\_ Signature with Date \_\_\_\_\_